

How smooth do we need it?

Understanding lunar surface preparation requirements

Space Resources Roundtable XXVI
June 4, 2026

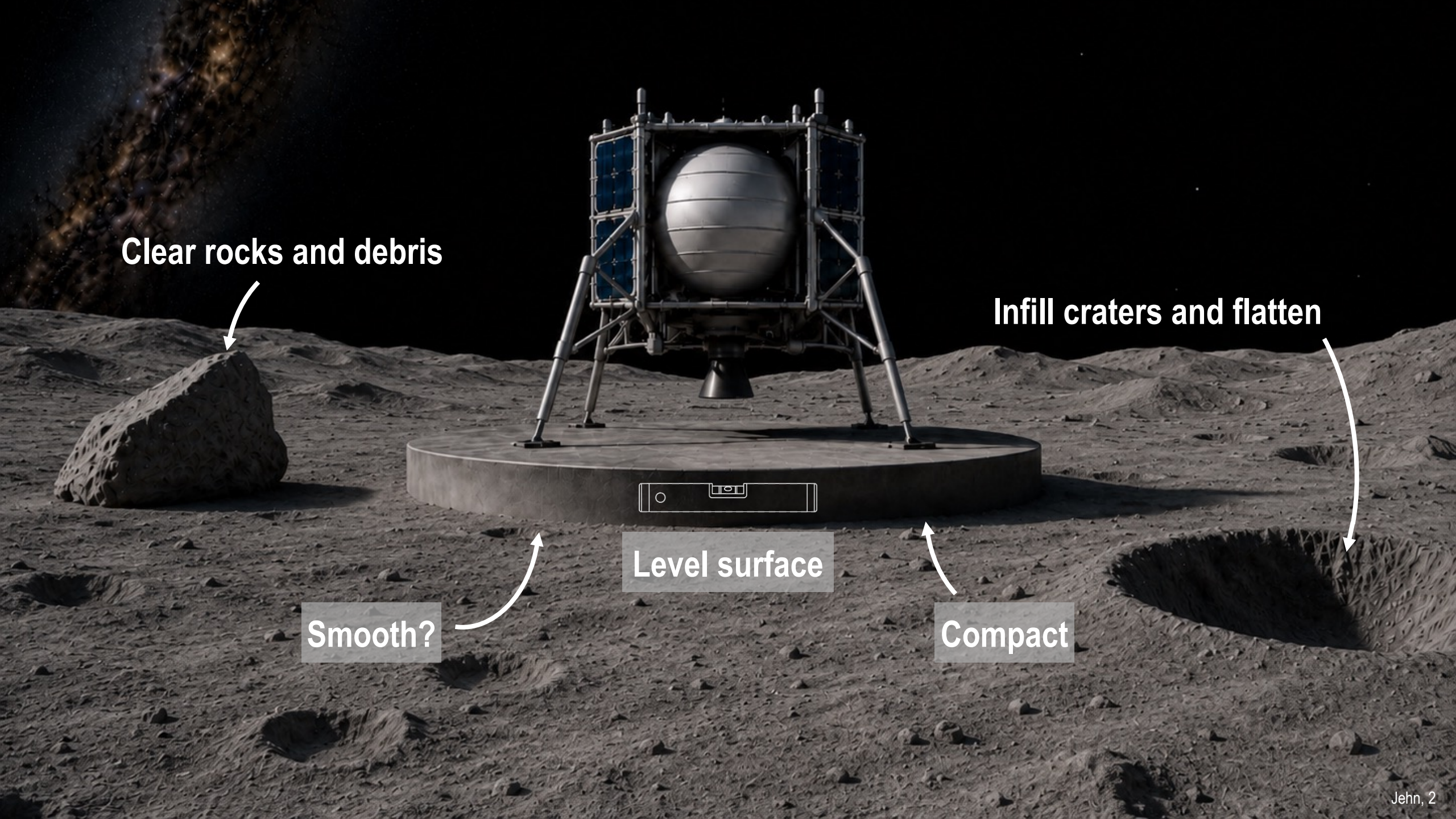
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Professor of Practice



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Space Resources



Clear rocks and debris

Infill craters and flatten

Level surface

Smooth?

Compact

NASA LuSTR 2021 end state requirements:

- 10 m diameter graded area
- level to $<1^\circ$
- **<1 cm Root Mean Square (RMS)**
- Compact to 90% relative density

For a continuous surface profile along a length, the RMS smoothness is calculated using the integral:

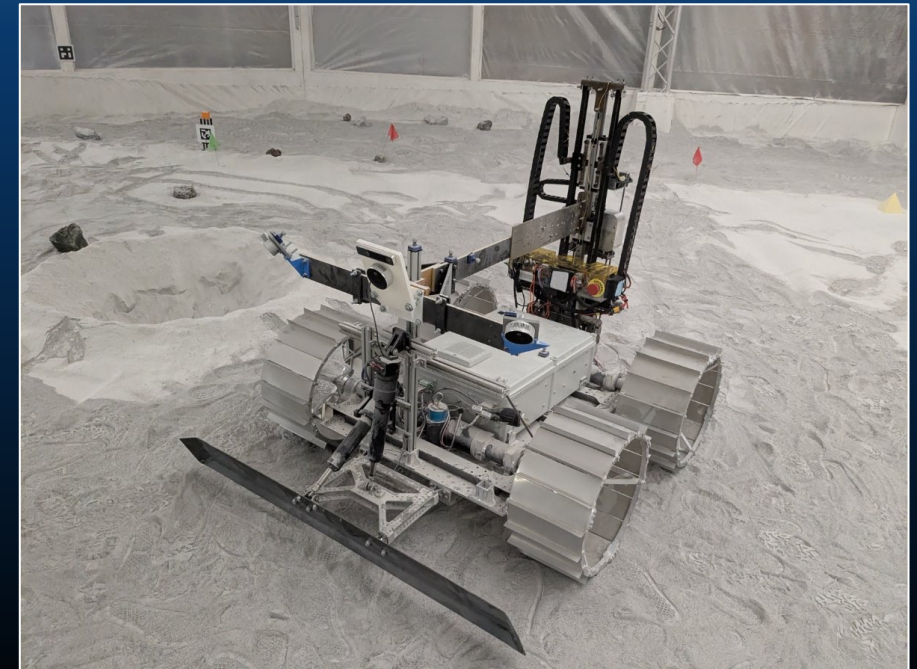
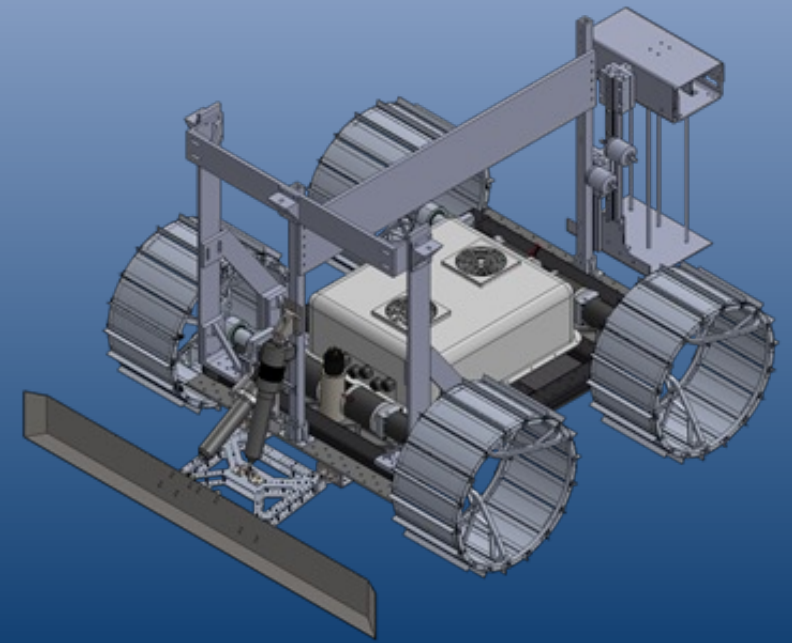
$$R_q = \sqrt{\frac{1}{L} \int_0^L Z(x)^2 dx}$$

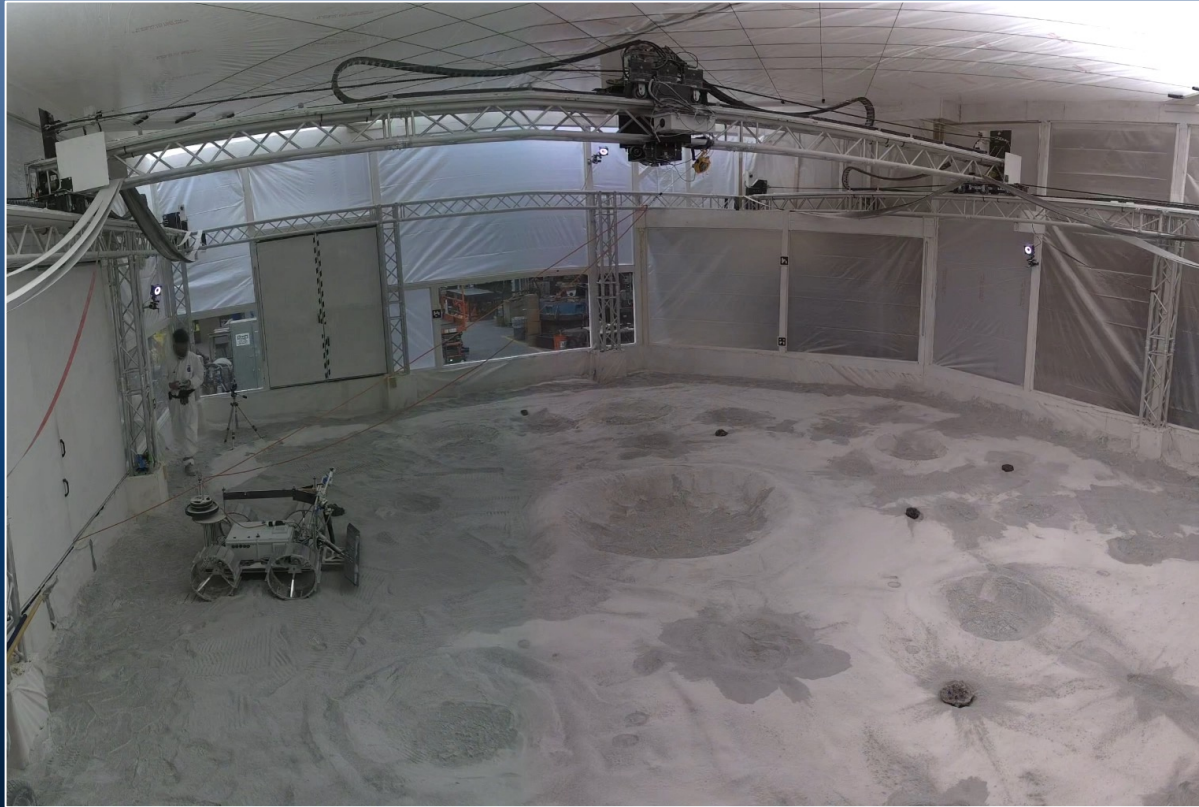
Where:

R_q = RMS surface roughness

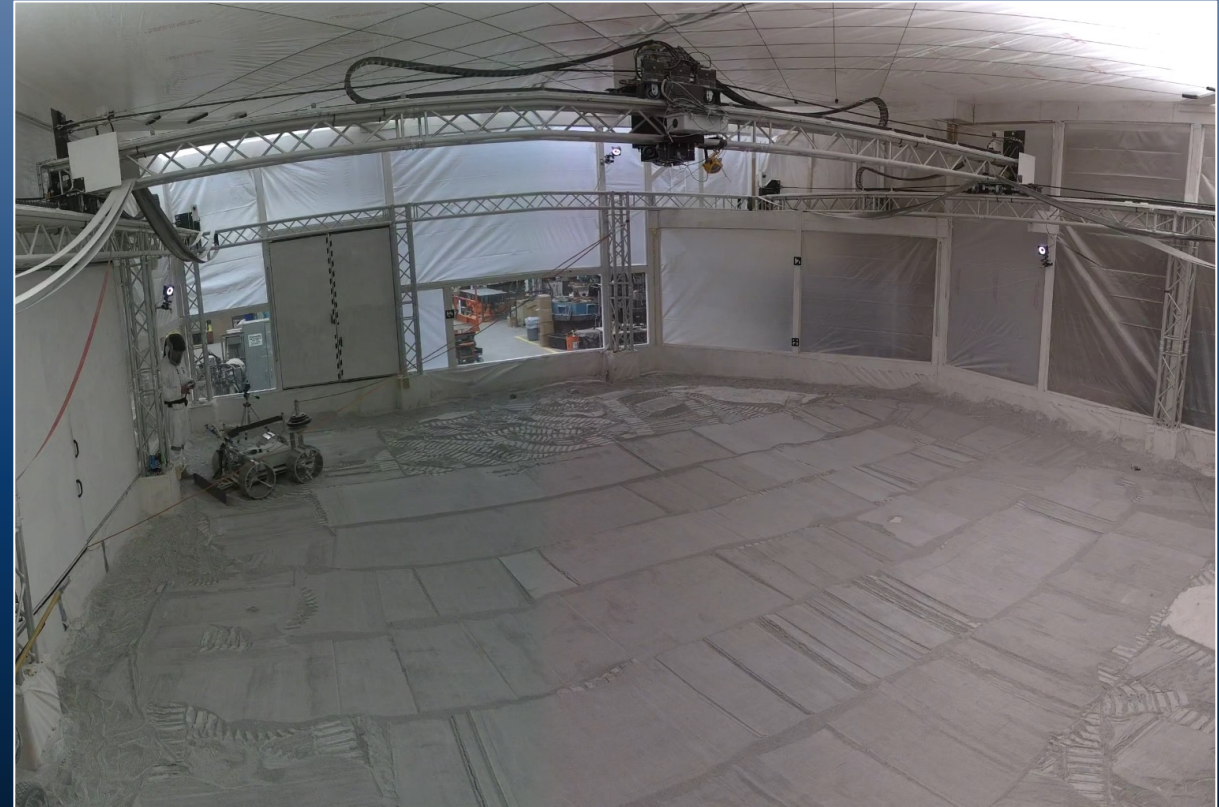
L = Sampling length

$Z(x)$ = The height of the surface profile at position x relative to the mean line



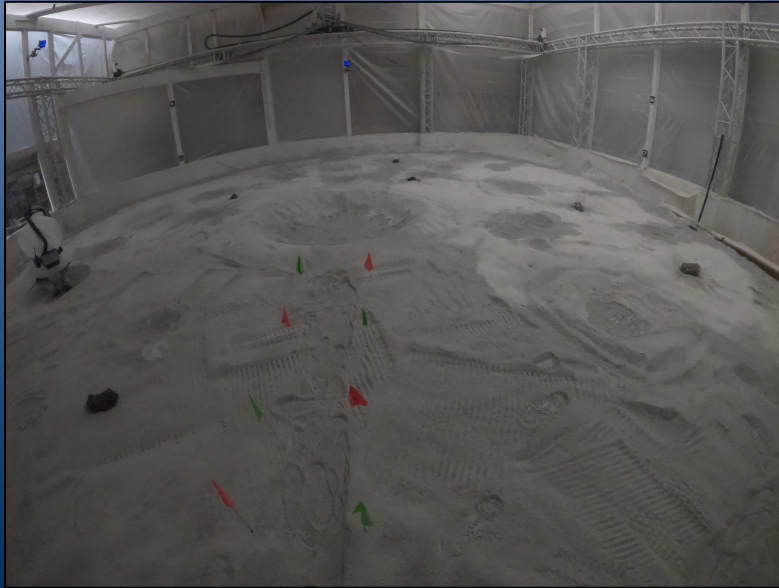


Clearing, flattening, and infilling craters
(grading)



Flattening surface via back-blading
(smoothing)

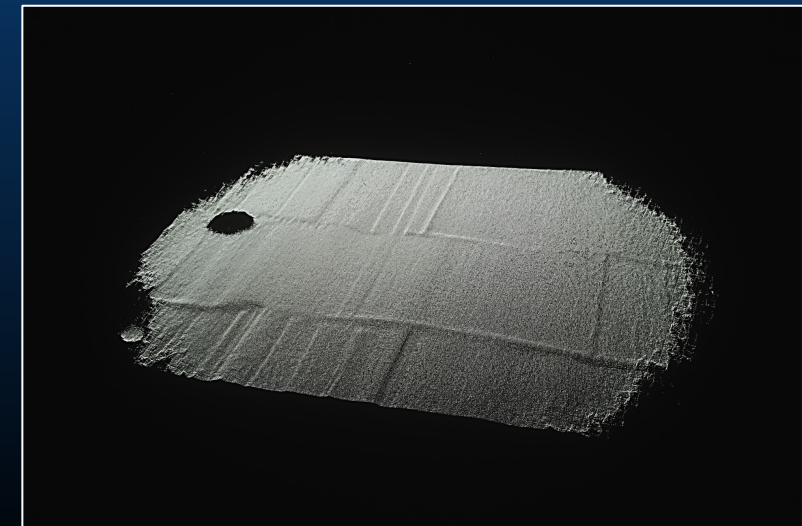
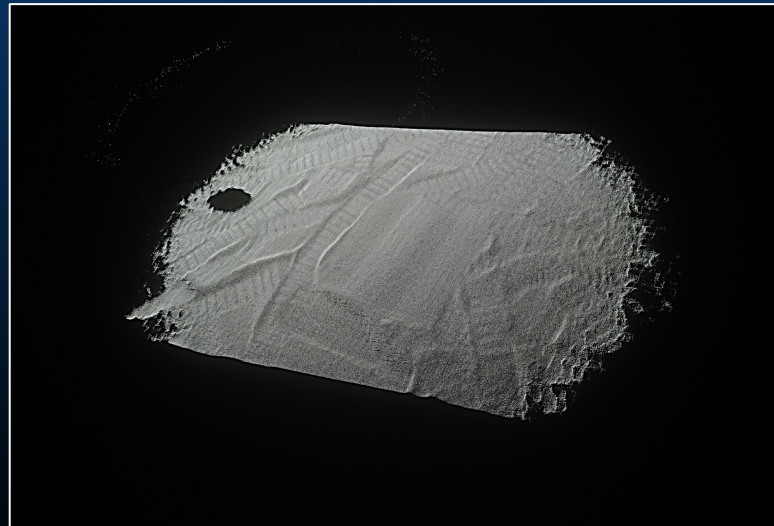
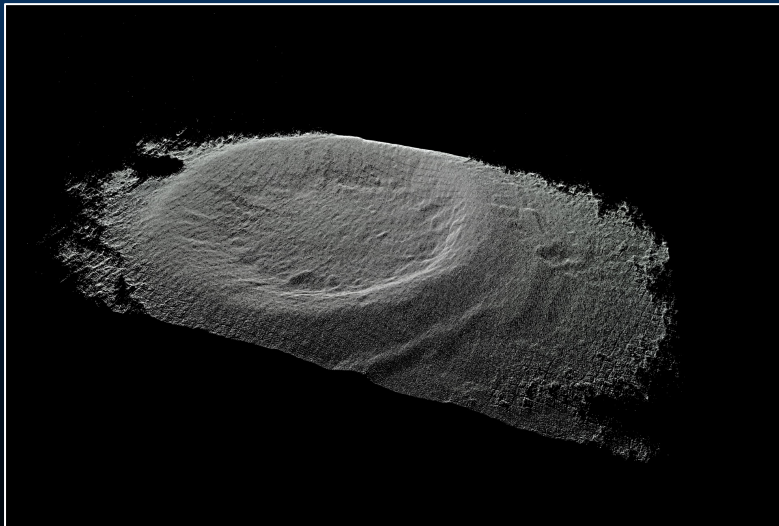
Pre-grading



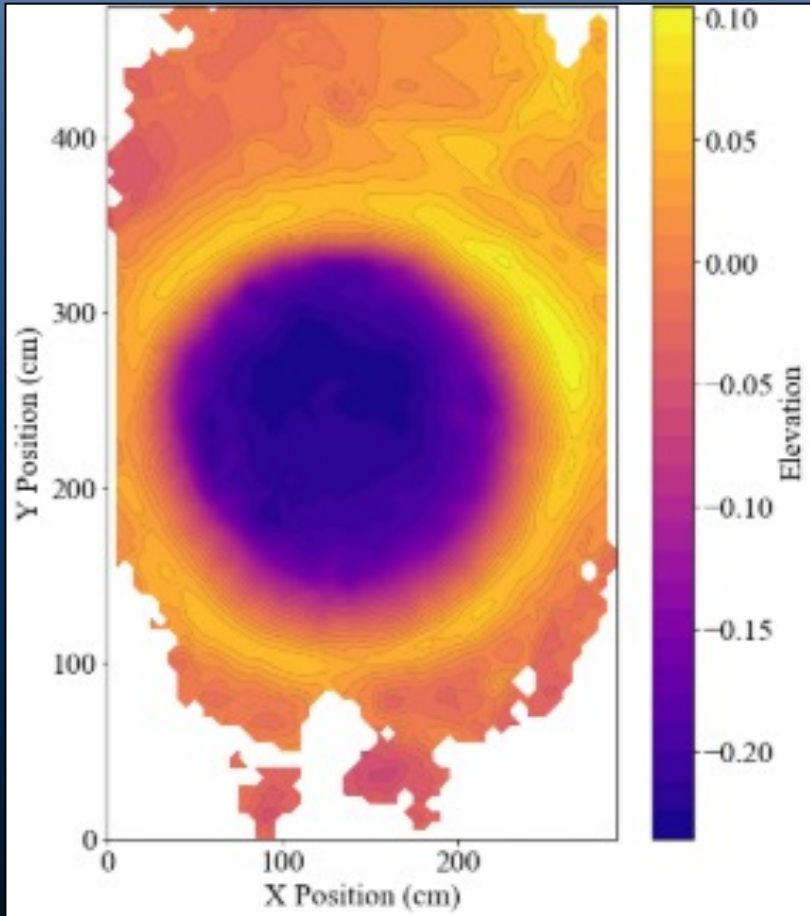
Post-grading



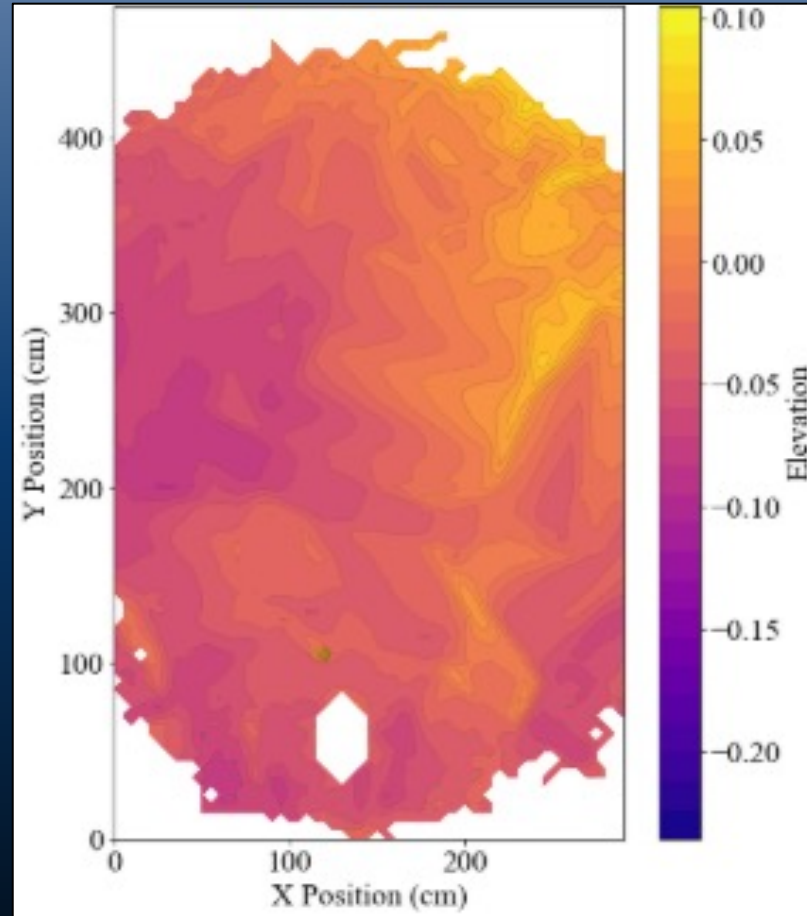
Post-smoothing



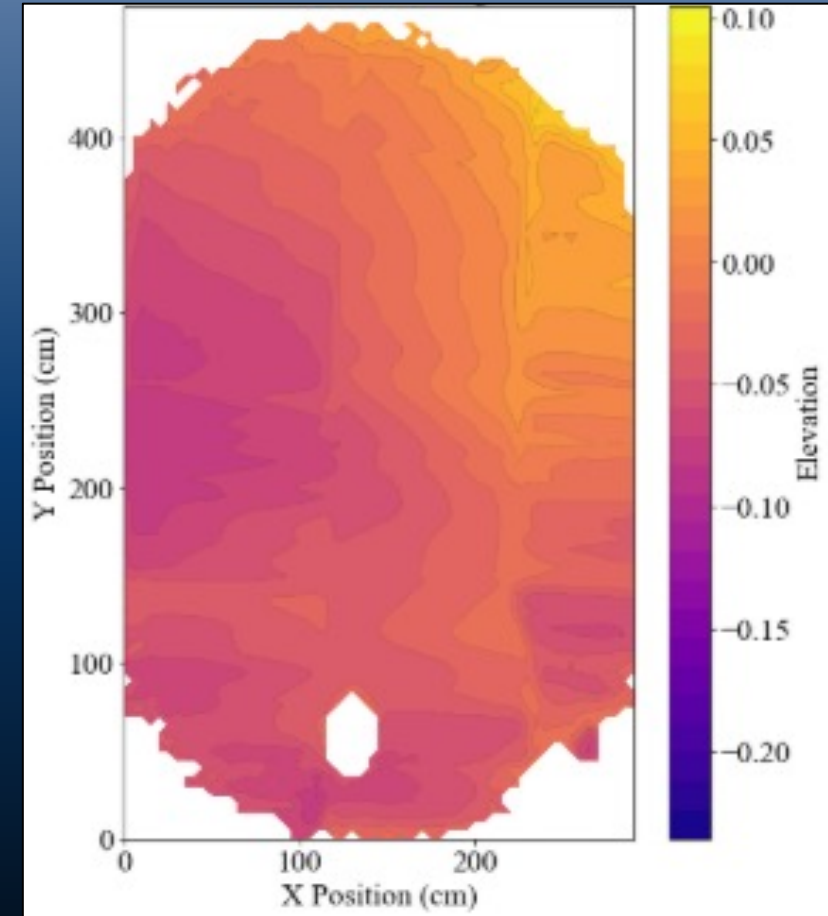
Pre-grading



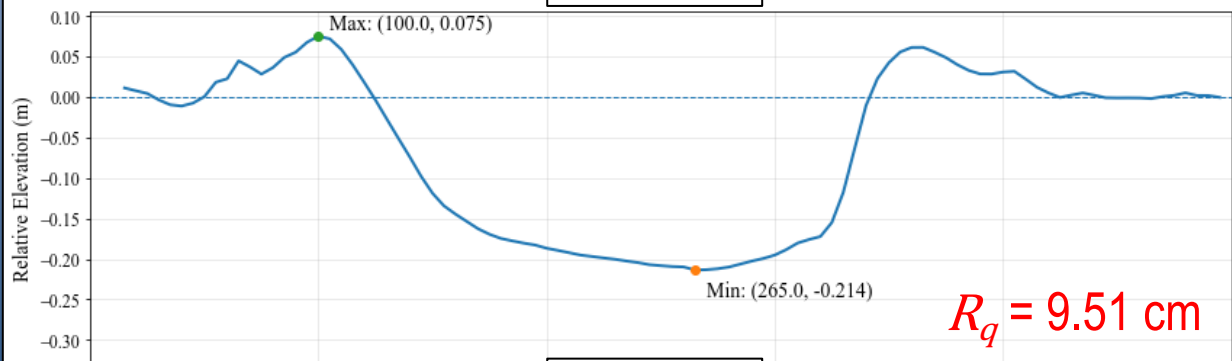
Post-grading



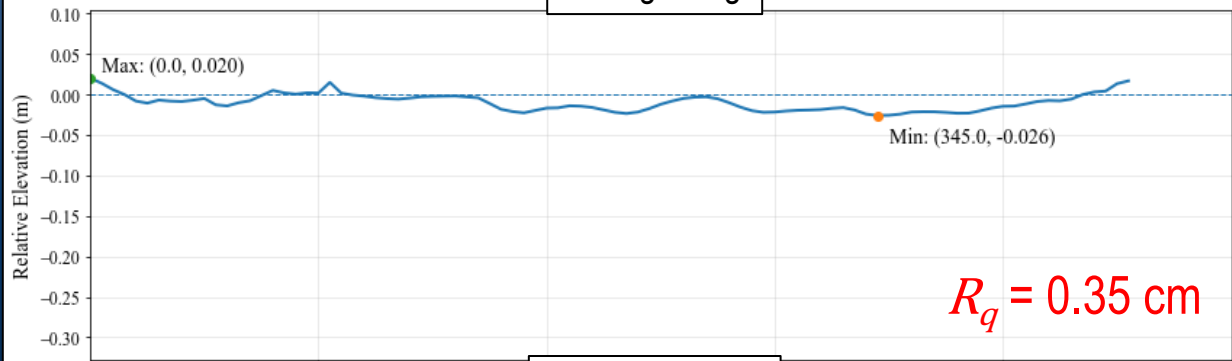
Post-smoothing



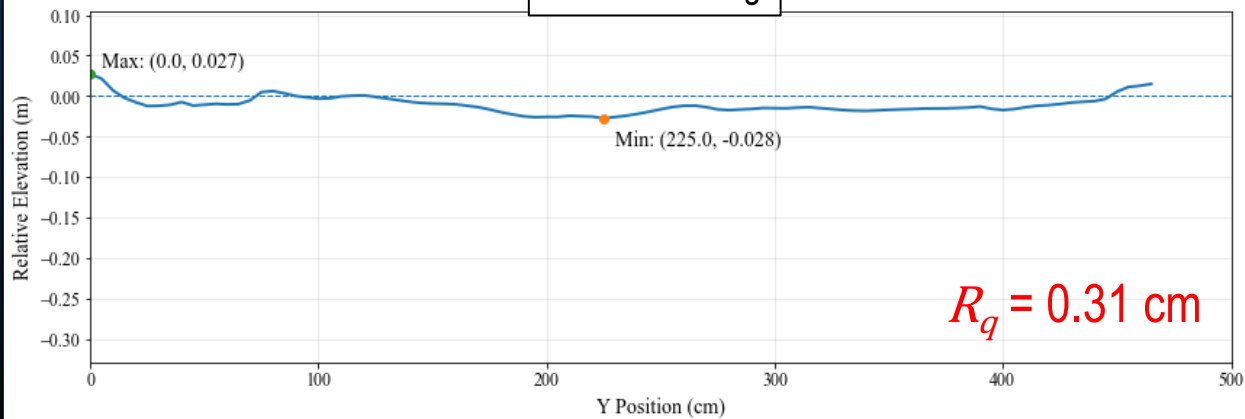
Pre-grading



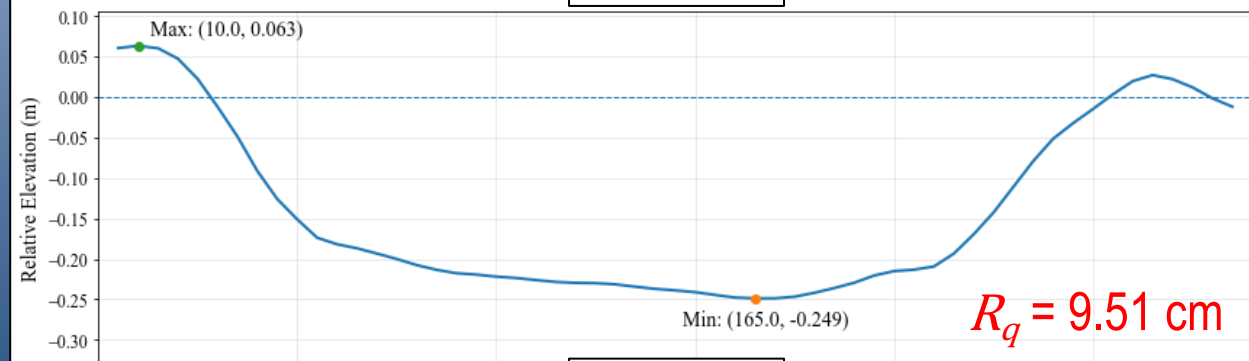
Post-grading



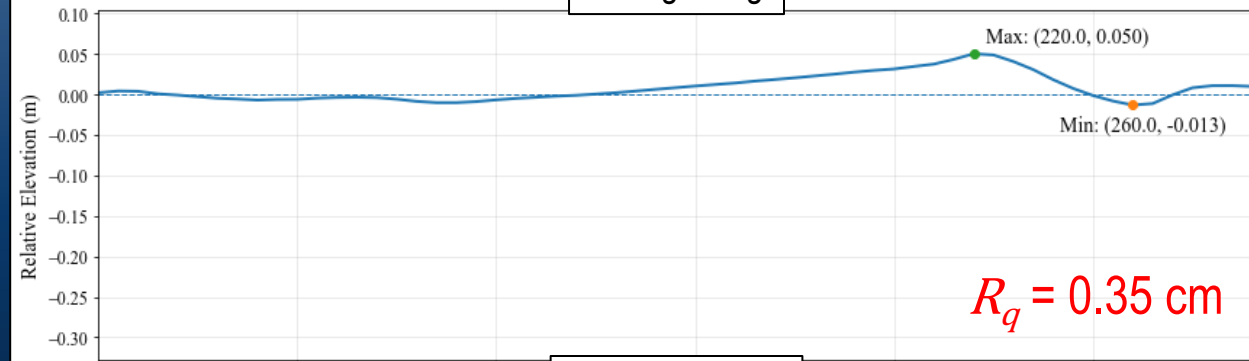
Post-smoothing



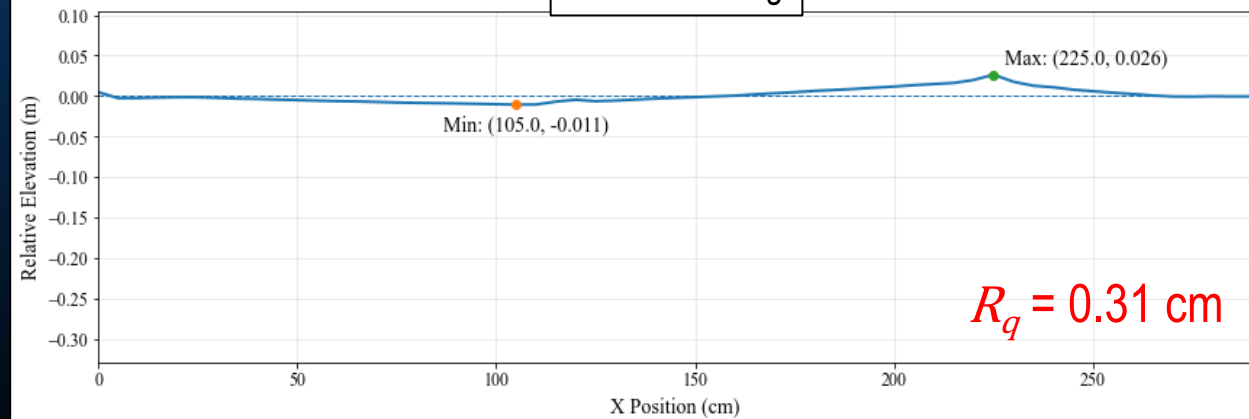
Pre-grading



Post-grading



Post-smoothing



How does the terrestrial construction industry handle smoothness requirements?

International Building Code (IBC)

Section 1809: Shallow Foundations (1809.2: Supporting Soils)

Shallow foundations shall be built on undisturbed soil, compacted fill material or controlled low-strength material (CLSM).

American Association of State Highway and Transportation Officials (AASHTO)

Guide Specification for Highway Construction

Section 200: Earthwork (204.3: Construction)

Ensure the finished subgrade surface is smooth and conforms to prescribed elevations before constructing the base or surface course. Limit the maximum variation from the subgrade to the prescribed elevation to 12 mm (0.47 in).

Section 300 Base Courses (304.3: Construction)

Finish the surface so that deviations do not exceed 15 mm (0.59 in), longitudinal or transverse.

How does the terrestrial construction industry handle smoothness requirements?

Unified Facilities Guide Specifications (UFGS)

Division 31: Earthwork

Section 31 00 00

3.5: Subgrade Preparation

(3.5.2: Subgrade for Structures, Spread Footings, and Concrete Slabs)

After final rolling, the surface of the subgrade for buildings and pavements must not show deviations greater than 15 mm (0.59 in) when tested with a 4 m (13.12 ft) straightedge applied both parallel and at right angles to the centerline of the area.

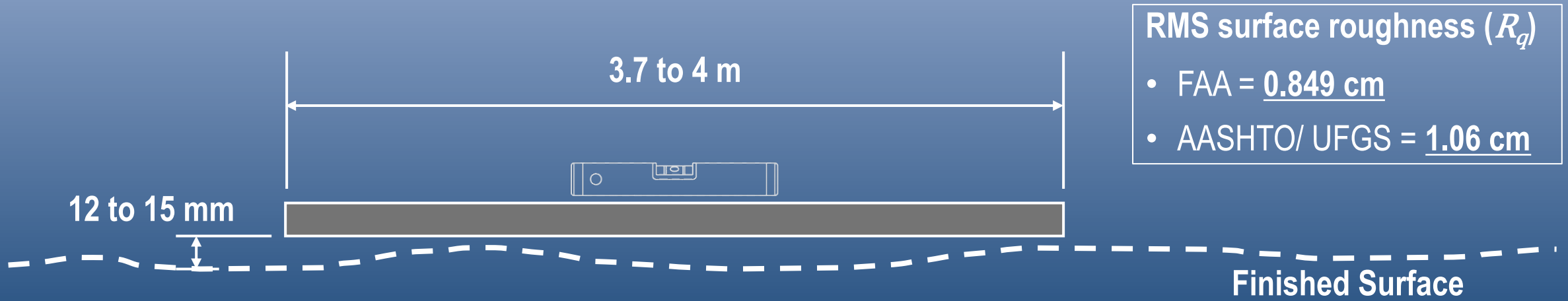
Federal Aviation Administration's (FAA)

Standard Specifications for Construction of Airports

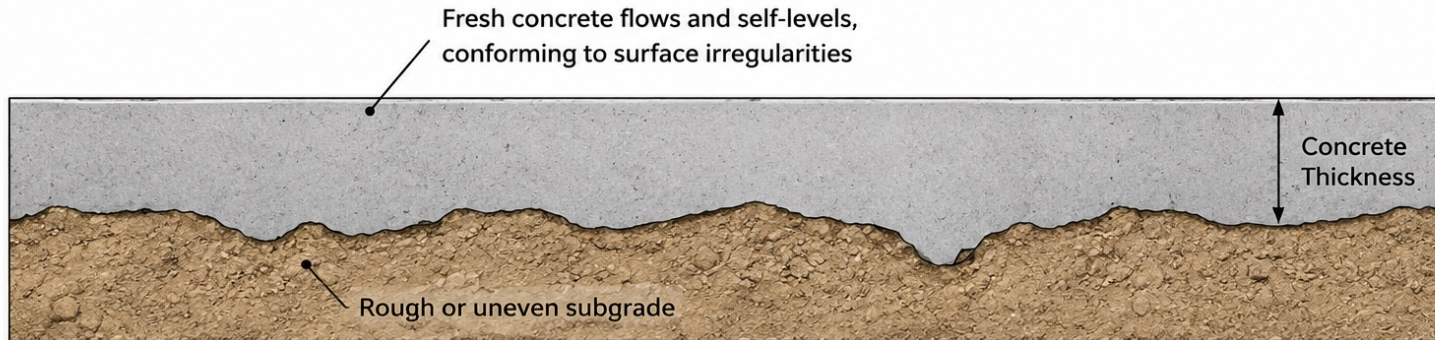
Part 3: Sitework

*Section P-152: Excavation, Subgrade, and Embankment
(152-2.13: Surface Tolerances)*

The finished surface shall not vary more than 12 mm (0.47 in) when tested with a 3.7 m (12 ft) straightedge applied parallel with and at right angles to the centerline.



A. Portland Cement Concrete (PCC) Pavement

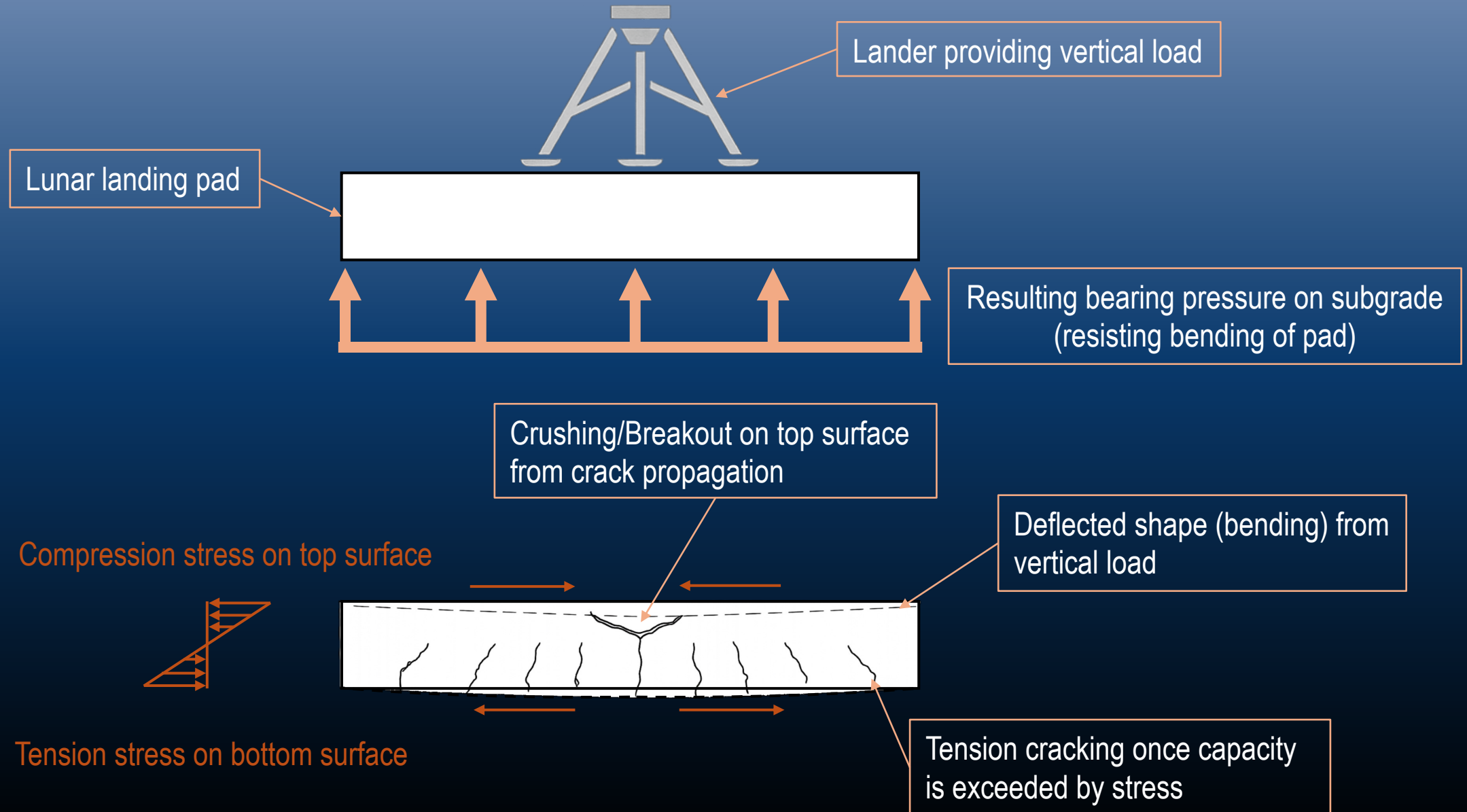


B. Hot Mix Asphalt (HMA) Pavement



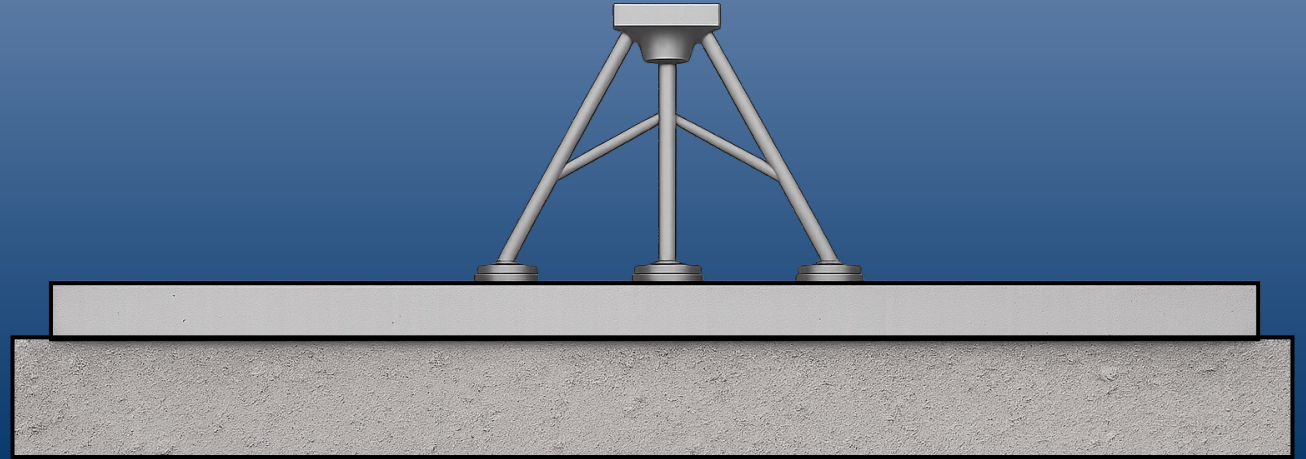
- Both concrete and asphalt pavements conform to the underlying surface profile.
- Surface smoothness requirements help ensure the finished pavement is within tolerance.

Do smooth surfaces guarantee good performance?

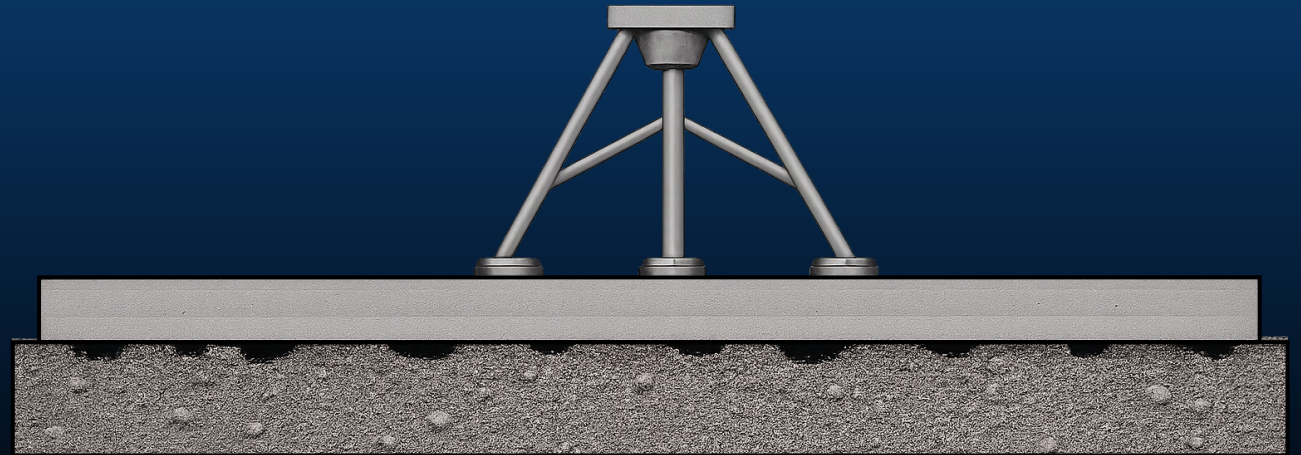


Do smooth surfaces guarantee good performance?

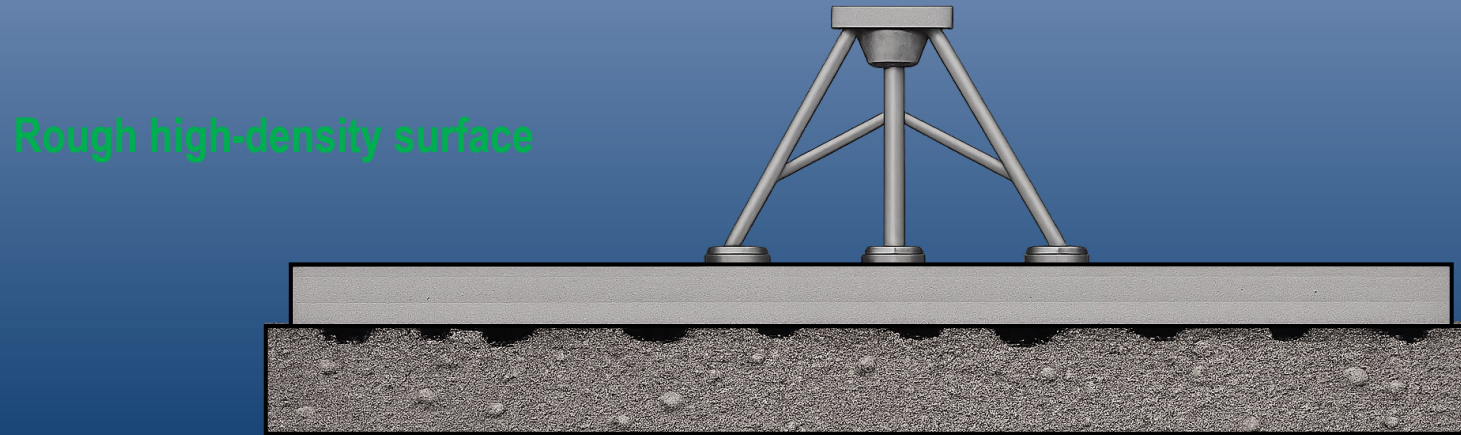
Smooth low-density surface



Rough high-density surface

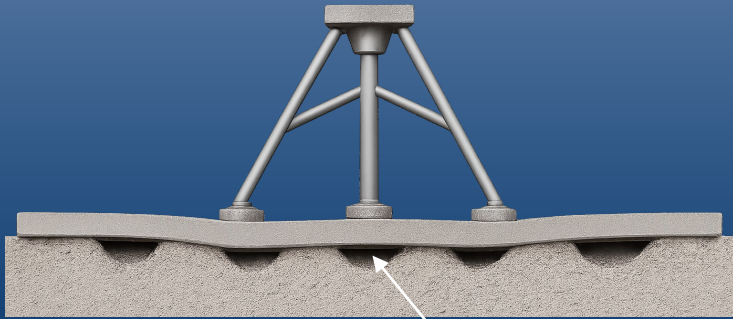


Do smooth surfaces guarantee good performance?

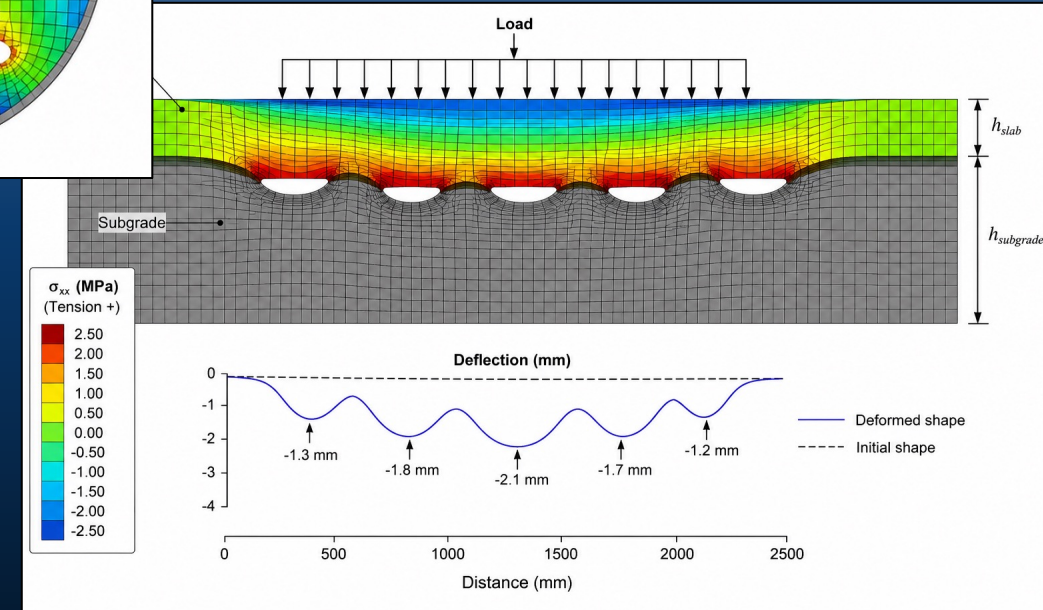
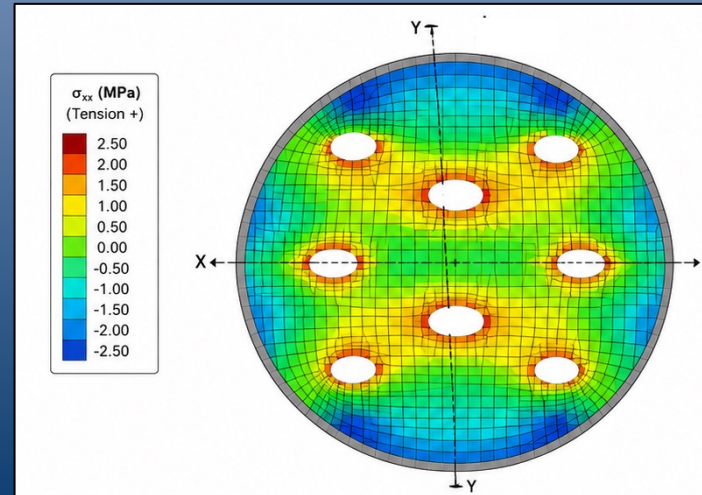


- Smoothness should not be the primary metric for verifying that all surfaces are adequate to receive and support infrastructure.
- We should be worrying about foundation conformability, regolith deformation, and bearing capacity.
 - These are related to the **density state and profile** of the subgrade.
- The solution is system-dependent. Understanding the mechanics of foundation-regolith interaction is the key.

Surface preparation is a soil-structure interaction problem



Voids will create additional bending stresses, BUT not nearly as much as soft subgrades would.



The required level of preparation depends on the ability of the foundation system to bridge imperfections in the subgrade

Required smoothness is system-dependent



Each has a different tolerance to:

- Bearing stress
- Settlement (subgrade deformation)
- Slope and levelness
- Density variations
- Local roughness

There is no single lunar smoothness requirement

Measure performance, not just geometry

Step 1

Laboratory Measurements

Density-Deformation Relationship

- Standard and modified Proctor
- Consolidation testing

Step 2

Determine Engineering Requirements

Foundation Design

- Regolith displacement
- Regolith bearing capacity
- Foundation conformability
- Required regolith relative compaction

Step 3

Field Preparation and Verification

Field Testing

- Cone Penetrometer (CPT)
- Plate Load Testing (PLT)
- Electrical Density Gauge (EDG)
- Nuclear Density Gauge (NDG)



CPT: ASTM D5778



PLT: ASTM D1194



EDG: ASTM D7830



NDG: ASTM D6938

$$\frac{\text{Relative Compaction}}{\text{Feild Density}} = \frac{\text{Maximum Lab Density}}{\text{Feild Density}}$$

What do you think?



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